



**CERTIFICATE OF MAILING (37 CFR 1.8)**

Applicant(s): Charles Nicholas Parson, et al.

Docket No.

ALCN-122US

Serial No.

10/539,722

Filing Date

June 20, 2005

Examiner

To Be Assigned

Group Art Unit

To Be Assigned

Invention: ALUMINUM ALLOY TUBE AND FIN ASSEMBLY FOR HEAT EXCHANGERS HAVING IMPROVED CORROSION RESISTANCE AFTER BRAZING

I hereby certify that the following correspondence:

PTO-1390

Supplemental Information Disclosure Statement

PTO-SB/08a

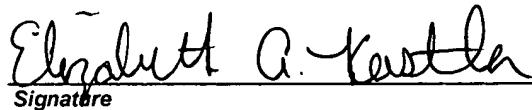
3 References

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*Elizabeth A. Kastler*  
Signature

Elizabeth A. Kastler

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610/407-0707

*Telephone Number*

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This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 10/539,722  
Applicant: Nicholas C. PARSON, et al.  
Filed: June 20, 2005  
Title: Aluminum Alloy Tube and Fin Assembly for Heat Exchangers Having Improved Corrosion Resistance After Brazing  
T.C./A.U.: To Be Assigned  
Examiner: To Be Assigned

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a submitted herewith. A copy of the listed foreign references are also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

This Supplemental Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required: 37 C.F.R. § 1.97(b).

Respectfully submitted,



\_\_\_\_\_  
Jonathan H. Spadt, Reg. No. 45,122  
Attorney for Applicants

JHS/eaK

Enclosures: PTO/SB/08a  
(3) References

Dated: March 15, 2006

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Valley Forge, PA 19482-0980  
(610) 407-0700

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

<i>Complete if Known</i>	
Application Number	10/539,722
Filing Date	June 20, 2005
First Named Inventor	Nicholas C. PARSON, et al.
Art Unit	To Be Assigned
Examiner Name	To Be Assigned

SHEET 1 of 1

Attorney Docket No. ALCN-122US

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)				
	A2	WO 01/66812	09/13/2001	PCT		<input type="checkbox"/>
	A1	EP 0 893 512	01/27/1999	Europe		<input type="checkbox"/>
	A1	EP 0 899 350	03/03/1999	Europe		<input type="checkbox"/>
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Examiner Signature				Date Considered		

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**1** Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>1</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

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